

Statement for Self-Certified Sophisticated Investor

We are restricted by PS13/3 of the FCA act which controls unregulated collective investment schemes.

We can only discuss our opportunities with you if you are either a High Net Worth Individual (HNWI) or a Sophisticated Investor.

You must be able to declare that you qualify as an eligible investor and meet the following definition below.

Please read and sign the declaration. Once submitted, a member of the team will be in touch to discuss your desired investment.

I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act (Financial Promotion) Order 2005.

I understand that this means:

- a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Conduct Authority;
- b) the content of such financial promotions may not conform to rules issued by the Financial Conduct Authority
- c) by signing this statement, I may lose significant rights;**
- d) I may have no right to complain to either of the following—
 - (i) the Financial Conduct Authority; or
 - (ii) the Financial Ombudsman Scheme;
- e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a self-certified sophisticated investor because at least one of the following applies:

- a) I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;
- b) I have made more than one investment in an unlisted company in the two years prior to the date below;
- c) I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;

d) I am currently or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.

I accept that the investments to which the promotions will relate may expose me to a significant risk of losing all of the money or other property invested.

Unregulated investment opportunities in complex instruments are considered high risk. Therefore, we can only deal with investors who are High Net Worth Individuals or Sophisticated Investors, who understand the risks involved. If you do not meet these criteria, you must NOT take any further action.

I am aware that it is open to me seek advice from someone who specialises in advising on investments.

Full Name

Date

Signed

Once you have signed and dated the form, please [return to us](#).