

Statement for Certified High Net Worth Individual

We are restricted by PS13/3 of the FCA act which controls unregulated collective investment schemes.

We can only discuss our opportunities with you if you are either a High Net Worth Individual (HNWI) or a Sophisticated Investor.

You must be able to declare that you qualify as an eligible investor and meet the following definition below.

Please read and sign the declaration. Once submitted, a member of the team will be in touch to discuss your desired investment.

I declare that I am a certified high net worth individual for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

I understand that this means:

- a) I can receive promotional communications that may not have been approved by a person authorised by the Financial Conduct Authority;
- b) the content of such financial promotions may not conform to rules issued by the Financial Conduct Authority
- c) by signing this statement, I may lose significant rights;**
- d) I may have no right to complain to either of the following—
 - (i) the Financial Conduct Authority; or
 - (ii) the Financial Ombudsman Scheme;
- e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a certified high net worth individual because at least one of the following applies:

- a) I had, throughout the financial year immediately preceding the date below, an annual **income** to the value of **£100,000 or more**. Annual income for these purposes does not include money withdrawn from my pension savings (except where the withdrawals are used directly for income in retirement).
- b) I held throughout the financial year immediately preceding the date below, **net assets** to the value of **£250,000 or more**.

Net assets for these purposes do not include:

- (i) the property which is my primary residence, or any money raised through a loan secured on that property; or

- (ii) any rights of mine under a qualifying contract of insurance; or
- (iii) any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependants are), or may be, entitled.

I accept that the investments to which the promotions will relate may expose me to a significant risk of losing all of the money or other property invested.

Unregulated investment opportunities in complex instruments are considered high risk. Therefore, we can only deal with investors who are High Net Worth Individuals or Sophisticated Investors, who understand the risks involved. If you do not meet these criteria, you must NOT take any further action.

I am aware that it is open to me to seek advice from an authorised person who specialises in advising on non-mainstream pooled investments.

Full Name

Date

Signed

Once you have signed and dated the form, please [return to us](#).